

CERTIFICATION OF ORIGIN INSTRUCTIONS - ANNEX 5-A MINIMUM DATA ELEMENTS

For purposes of obtaining preferential tariff treatment, this document may be completed legibly and in full by the producer, exporter or importer and must be in the possession of the importer at the time the declaration is made. Please print or type.

1. Importer, Exporter, or Producer Certification of Origin

Indicate whether the certifier signing this Certificate is the exporter, producer, or importer.

2. Blanket Period

Include the period if the certification covers multiple shipments of identical goods for a specified period of up to 12 months.

3. Certifier

Provide the certifier's name, title, address (including country), telephone number, and email address.

4. Exporter

Provide the exporter's name, address (including country), e-mail address, and telephone number if different from the certifier. This information is not required if the producer is completing the certification of origin and does not know the identity of the exporter. The address of the exporter shall be the place of export of the good in a Party's territory.

5. Producer

Provide the producer's name, address (including country), e-mail address, and telephone number, if different from the certifier or exporter or, if there are multiple producers, state "Various" or provide a list of producers. A person that wishes for this information to remain confidential may state "Available upon request by the importing authorities". The address of a producer shall be the place of production of the good in a Party's territory.

6. Importer

Provide, if known, the importer's name, address, e-mail address, and telephone number. The address of the importer shall be in a Party's territory.

7. Part No./Description of Goods

- (a) Provide a description of the good(s) including Part Number (where available) and the HS tariff classification to the 6-digit level. The description should be sufficient to relate it to the good covered by the certification; and
- (b) If the certification of origin covers a single shipment of a good, indicate, if known, the invoice number related to the exportation.

8. HS Tariff Classification

For each good described in Field 5, identify the HS tariff classification to the 6-digit level.

9. Origin Criteria

Specify the origin criteria under which the good qualifies, as set out in Article 4.2:

- (a) wholly obtained or produced entirely in the territory of one or more of the Parties;
- (b) produced entirely in the territory of one or more of the Parties using non-originating materials provided the good satisfies all applicable requirements of Annex 4-B (Product-Specific Rules of Origin);
- (c) produced entirely in the territory of one or more of the Parties exclusively from originating materials; or
- (d) except for a good provided for in Chapter 61 to 63 of the Harmonized System:
 - (i) produced entirely in the territory of one or more of the Parties;
 - (ii) one or more of the non-originating materials provided for as parts under the Harmonized System used in the production of the good cannot satisfy the requirements set out in Annex 4-B (Product-Specific Rules of Origin) because both the good and its materials are classified in the same subheading or same heading that is not further subdivided into subheadings or, the good was imported into the territory of a Party in an unassembled or a disassembled form but was classified as an assembled good pursuant to rule 2(a) of the General Rules of Interpretation of the Harmonized System; and
 - (iii) the regional value content of the good, determined in accordance with Article 4.5 (Regional Value Content), is not less than 60 percent if the transaction value method is used, or not less than 50 percent if the net cost method is used; and the good satisfies all other applicable requirements of this Chapter.

10. Country of Origin

Identify the country of origin of the good (CA, US, or MX).

11. Authorized Signature and Date

The certification must be signed and dated by the certifier and include the following statement:

*****I certify that the goods described in this document qualify as originating and the information contained in this document is true and accurate. I assume responsibility for proving such representations and agree to maintain and present upon request or to make available during a verification visit, documentation necessary to support this certification.*****